

# Cryptography 101: From Theory to Practice

## Chapter 12 – Key Establishment

Rolf Oppliger

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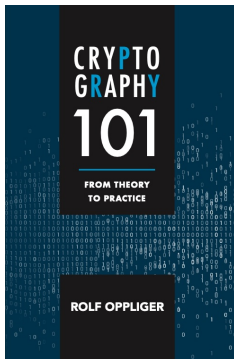
# whoami



rolf-oppliger.ch  
rolf-oppliger.com

- Swiss National Cyber Security Centre  
NCSC (scientific employee)
- eSECURITY Technologies Rolf Oppliger  
(founder and owner)
- University of Zurich (adjunct professor)
- Artech House (author and series editor for  
information security and privacy)

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# Challenge Me



## Part III

# PUBLIC KEY CRYPTOSYSTEMS

# Outline

## 12. Key Establishment

- 1 Introduction
- 2 Cryptographic Systems
- 3 Random Generators
- 4 Random Functions
- 5 One-Way Functions
- 6 Cryptographic Hash Functions
- 7 Pseudorandom Generators
- 8 Pseudorandom Functions
- 9 Symmetric Encryption
- 10 Message Authentication
- 11 Authenticated Encryption
- 13 Asymmetric Encryption
- 14 Digital Signatures
- 15 Zero-Knowledge Proofs of Knowledge
- 16 Key Management
- 17 Summary
- 18 Outlook

# 12. Key Establishment

## 12.1 Introduction

## 12.2 Key Distribution

## 12.3 Key Agreement

## 12.4 Quantum Cryptography

## 12.4 Final Remarks



## 12. Key Establishment

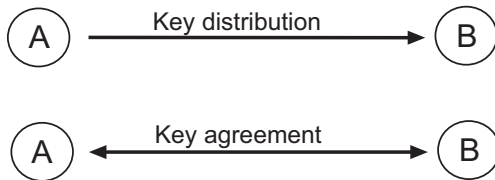
## 12.1 Introduction

- The establishment of keys is a major problem in secret key cryptography
- It represents the Achilles' heel for its large-scale deployment
- Two approaches
  - Key distribution center (KDC), such as Kerberos
  - Key establishment protocols

## 12. Key Establishment

## 12.1 Introduction

- Types of key establishment
  - Key distribution
  - Key agreement (aka key exchange)



# 12. Key Establishment

## 12.2 Key Distribution — Merkle's puzzles

- In 1975, Ralph C. Merkle proposed an idea that predates (but is conceptually similar to) public key cryptography
- It is theoretically interesting but not practical

**Table 12.1**  
Merkle's puzzles

A	B
(n)	(n)
Generate $P_i = (i, k_i)$ for $i = 1, \dots, n$ Permute $P_1, \dots, P_n$	
	$P_{\pi(1)}, \dots, P_{\pi(n)}$
	Randomly select $P_i$ Solve $P_i$
	$i$
( $k_i$ )	( $k_i$ )

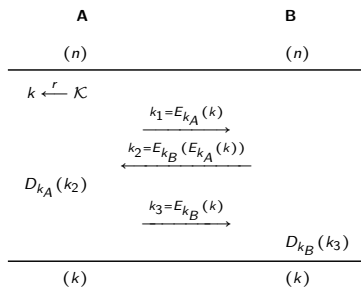
# 12. Key Establishment

## 12.2 Key Distribution — Shamir's three-pass protocol

- In 1980, Adi Shamir proposed a key distribution protocol that employs commutative encryption

**Table 12.2**

Shamir's Three-Pass Protocol (error in the printed edition of the book)



## 12. Key Establishment

### 12.2 Key Distribution — Shamir's three-pass protocol

- An additive stream cipher, such as the one-time pad, yields a commutative encryption
- In this case, however, all encryptions cancel themselves out and the protocol gets totally insecure
- If  $r_A$  is the bit sequence used by A to compute  $k_1$  and  $k_3$ , and  $r_B$  is the bit sequence used by B to compute  $k_2$ , then  $k_1$ ,  $k_2$ , and  $k_3$  can then be expressed as

$$k_1 = r_A \oplus k$$

$$k_2 = r_B \oplus k_1 = r_B \oplus r_A \oplus k$$

$$k_3 = r_A \oplus k_2 = r_A \oplus r_B \oplus r_A \oplus k = r_B \oplus k$$

## 12. Key Establishment

### 12.2 Key Distribution — Shamir's three-pass protocol

- These are the values an adversary can observe in a passive (wiretapping) attack
- The adversary can add  $k_1$  and  $k_2$  modulo 2 to retrieve  $r_B$

$$k_1 \oplus k_2 = r_A \oplus k \oplus r_B \oplus r_A \oplus k = r_B$$

- This value can then be added modulo 2 to  $k_3$  to determine  $k$

$$r_B \oplus k_3 = r_B \oplus r_B \oplus k = k$$

## 12. Key Establishment

### 12.2 Key Distribution — Shamir's three-pass protocol

- The bottom line is that a perfectly secure symmetric encryption system is used, and yet the resulting key distribution protocol is totally insecure
- This suggests that the use of an additive stream cipher is inappropriate to instantiate the three-pass protocol
- Shamir suggested the use of modular exponentiation in  $\mathbb{Z}_p^*$  (instead of an additive stream cipher)
- The resulting three-pass protocol is known as **Shamir's three-pass protocol** (or **Shamir's no key protocol**)

## 12. Key Establishment

### 12.2 Key Distribution — Shamir's three-pass protocol

- Respective values for  $k_1$ ,  $k_2$ , and  $k_3$ :

$$k_1 \equiv k^{e_A} \pmod{p}$$

$$k_2 \equiv (k^{e_A})^{e_B} \equiv k^{e_A e_B} \pmod{p}$$

$$\begin{aligned} k_3 &\equiv ((k^{e_A})^{e_B})^{d_A} \\ &\equiv ((k^{e_A})^{d_A})^{e_B} \\ &\equiv (k^{e_A d_A})^{e_B} \\ &\equiv k^{e_B} \pmod{p} \end{aligned}$$

- B can use  $d_B$  to retrieve  $k$ :

$$k \equiv (k^{e_B})^{d_B} \equiv k^{e_B d_B} \equiv k \pmod{p}$$



## 12. Key Establishment

### 12.2 Key Distribution — Shamir's three-pass protocol

- In 1982, James L. Massey and Jim Omura proposed the use of a binary extension field  $\mathbb{F}_{2^m}$  for  $m \in \mathbb{N}$  (instead of  $\mathbb{Z}_p^*$ )
- The resulting variant of Shamir's three-pass protocol is known as the **Massey-Omura protocol**
- It allows hardware implementations to be more efficient
- All known instantiations of Shamir's three-pass protocol employ modular exponentiation in one way or another (and therefore refer to public key cryptography)

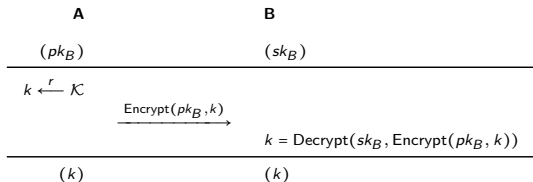
## 12. Key Establishment

## 12.2 Key Distribution — Asymmetric encryption-based key distribution protocol

- Asymmetric encryption-based key distribution is used in many Internet security protocols (e.g., IPsec/IKE, SSL/TLS, ...)

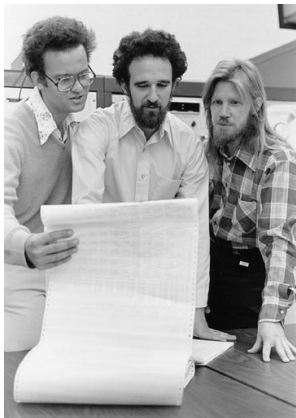
### Table 12.3

## An Asymmetric Encryption-based Key Distribution Protocol



## 12. Key Establishment

### 12.3 Key Agreement — Diffie-Hellman key exchange protocol



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- In 1976, Whitfield Diffie and Martin Hellman published a landmark paper entitled “New Directions in Cryptography”
- The paper introduced the notion of public key cryptography and proposed a key agreement protocol
- The paper changed the field
- Diffie and Hellman won the ACM A.M. Turing Award in 2015

# 12. Key Establishment

## 12.3 Key Agreement — Diffie-Hellman key exchange protocol

- The **Diffie-Hellman key exchange (exponential key exchange)** protocol can be used by two entities that have no prior relationship to agree on a secret key by communicating over a public but authentic channel
- As such, its existence seems paradoxical at first sight

**Table 12.4**  
Diffie-Hellman Key Exchange

A	B
$(G, g)$	$(G, g)$
$x_a \xleftarrow{r} \mathbb{Z}_q^*$ $y_a = g^{x_a}$	$x_b \xleftarrow{r} \mathbb{Z}_q^*$ $y_b = g^{x_b}$
$\xrightarrow{y_a}$ $\xleftarrow{y_b}$	
$k_{ab} = y_b^{x_a}$	$k_{ba} = y_a^{x_b}$
$(k_{ab})$	$(k_{ba})$

## 12. Key Establishment

### 12.3 Key Agreement — Diffie-Hellman key exchange protocol

- Toy example
  - $p = 23$  is a safe prime, because  $11 = (23 - 1)/2$  is also prime
  - $\mathbb{Z}_{23}^* = \{1, \dots, 22\}$  has a subgroup  $G$  that consists of the  $q = 11$  elements 1, 2, 3, 4, 6, 8, 9, 12, 13, 16, and 18
  - $g = 3$  is a generator of this group (there are others)
  - A randomly selects  $x_a = 6$ , computes  $y_a = 3^6 \bmod 23 = 16$ , and sends this value to B
  - B randomly selects  $x_b = 9$ , computes  $y_b = 3^9 \bmod 23 = 18$ , and sends this value to A
  - A computes  $y_b^{x_a} = 18^6 \bmod 23 = 8$
  - B computes  $y_a^{x_b} = 16^9 \bmod 23 = 8$
  - The result is  $k = k_{ab} = k_{ba} = 8$

# 12. Key Establishment

## 12.2 Key Distribution — Diffie-Hellman key exchange protocol

**Table 12.5**  
 A MITM Attack Against the Diffie-Hellman Key Exchange Protocol

A	C	B
$(G, g)$		$(G, g)$
$x_a \xleftarrow{r} \mathbb{Z}_q^*$ $y_a = g^{x_a}$	$\xrightarrow{y_a} \rightsquigarrow \xrightarrow{y_c}$ $\xleftarrow{y_c} \rightsquigarrow \xleftarrow{y_b}$	$x_b \xleftarrow{r} \mathbb{Z}_q^*$ $y_b = g^{x_b}$
$k_{ac} = y_c^{x_a}$		$k_{bc} = y_c^{x_b}$
$(k_{ac})$		$(k_{bc})$

## 12. Key Establishment

### 12.3 Key Agreement — Diffie-Hellman key exchange protocol

- The source of the problem (i.e., susceptibility to MITM attack) is the lack of authenticity
- People therefore prefer an **authenticated Diffie-Hellman key exchange**, such as provided by the station-to-station (STS) protocol
- There are many possibilities to authenticate a Diffie-Hellman key exchange using complementary cryptographic techniques, such as passwords, secret keys, or digital signatures and public key certificates
- In the case of a password, the **encrypted key exchange (EKE)** protocol yields an alternative

# 12. Key Establishment

## 12.2 Key Distribution — Diffie-Hellman key exchange protocol

**Table 12.7**  
ECDH Protocol

A	B
$(Curve, G, n)$	$(Curve, G, n)$
$d_a \xleftarrow{r} \mathbb{Z}_n \setminus \{0\}$ $Q_a = d_a G$	$d_b \xleftarrow{r} \mathbb{Z}_n \setminus \{0\}$ $Q_b = d_b G$
$\xrightarrow{Q_a}$ $\xleftarrow{Q_b}$	
$k_{ab} = d_a Q_b$	$k_{ba} = d_b Q_a$
$(k_{ab})$	$(k_{ba})$



## 12. Key Establishment

### 12.2 Key Distribution — Diffie-Hellman key exchange protocol

- Toy example
  - $(Curve, G, n)$  from Chapter 5, i.e.,  $a = b = 1$  ( $y^2 \equiv x^3 + x + 1$ ),  $p = 23$  ( $\mathbb{Z}_{23}$ ),  $G = (3, 10)$ , and  $n = 28$
  - A selects  $d_a = 6$ , computes  $Q_a = 6G = 6(3, 10) = (12, 4)$ , and sends  $Q_a = (12, 4)$  to B
  - B selects  $d_b = 11$ , computes  $Q_b = 11G = 11(3, 10) = (18, 20)$ , and sends  $Q_b = (18, 20)$  to A
  - A computes  $d_a Q_b = 6(18, 20) = (6, 4)$
  - B computes  $d_b Q_a = 11(12, 4) = (6, 4)$
  - Note that  $6 \cdot 11(3, 10) = 11 \cdot 6(3, 10) = 66 \bmod 28(3, 10) \equiv 10(3, 10) = (6, 4)$

## 12. Key Establishment

### 12.4 Quantum Cryptography

- **Quantum cryptography** refers to a key establishment technology that is based on the laws of quantum physics (instead of mathematics)
- More specifically, it makes use of the **Heisenberg uncertainty principle**
- This principle states that certain pairs of physical quantities of an object, such as its position and velocity, cannot both be measured exactly at the same time
- This has practical implications for the exceedingly small masses of atoms and subatomic particles, like photons

## 12. Key Establishment

### 12.4 Quantum Cryptography

- To measure the polarization of a photon, one can use one of two bases:
  - A **rectilinear basis** ( $\boxplus$ ) is able to reliably distinguish between photons that are polarized horizontally with  $0^\circ/180^\circ$  ( $\leftrightarrow$ ) or vertically with  $90^\circ/270^\circ$  ( $\updownarrow$ )
  - A **diagonal basis** ( $\boxtimes$ ) is able to reliably distinguish between photons that are polarized diagonally, i.e., with either  $45^\circ/225^\circ$  ( $\nearrow$ ) or  $135^\circ/315^\circ$  ( $\nwarrow$ )
- The two bases (i.e.,  $\boxplus$  and  $\boxtimes$ ) are **conjugate** in the sense that the measurement of the polarization in one basis randomizes the measurement in the other basis
- This can be exploited to establish a **quantum channel**

## 12. Key Establishment

### 12.4 Quantum Cryptography

- In 1984, Charles H. Bennett and Gilles Brassard proposed a quantum cryptography-based key exchange protocol known as **quantum key exchange (QKE)**
- **A** may send out photons in one of four polarizations:  $\leftrightarrow$ ,  $\nearrow$ ,  $\updownarrow$ , or  $\nwarrow$
- **B** measures the polarizations of the photons it receives
- According to the laws of quantum physics, B can distinguish between rectilinear polarizations (i.e.,  $\leftrightarrow$  or  $\updownarrow$  using  $\boxplus$ ) and diagonal polarizations (i.e.,  $\nearrow$  or  $\nwarrow$  using  $\boxtimes$ ), but it cannot distinguish between both types of polarization simultaneously

# 12. Key Establishment

## 12.4 Quantum Cryptography

- Coding rules (encoding and decoding)
  - Rectilinear basis ( $\oplus$ )
    - Horizontal polarization with  $0^\circ/180^\circ$  ( $\leftrightarrow$ ) stands for 0
    - Vertical polarization with  $90^\circ/270^\circ$  ( $\updownarrow$ ) stands for 1
  - Diagonal basis ( $\boxtimes$ )
    - Diagonal polarization with  $45^\circ/225^\circ$  ( $\nearrow$ ) stands for 0
    - Diagonal polarization with  $135^\circ/315^\circ$  ( $\searrow$ ) stands for 1

# 12. Key Establishment

## 12.4 Quantum Cryptography

**Table 12.8**  
 An Exemplary Transcript of the Quantum Key Exchange Protocol

1)	0	0	1	0	1	1	0	1	1	0
2)	⊕	⊗	⊗	⊕	⊗	⊕	⊕	⊗	⊗	⊕
3)	↔	↗	↘	↔	↘	↕	↔	↘	↘	↔
4)	⊕	⊕	⊗	⊕	⊕	⊗	⊕	⊕	⊗	⊗
5)	0		1	0	0	0	0	1	1	0
6)	⊕		⊗	⊕	⊕	⊗	⊕	⊕	⊗	⊗
7)	✓		✓	✓			✓		✓	
8)	0		1	0			0		1	
9)			1				0			
10)			✓				✓			
11)	0			0					1	

# 12. Key Establishment

## 12.4 Quantum Cryptography

- Advantages
  - Provides an alternative key exchange method (whose security does not depend on mathematics)
  - Resistant to quantum computing
- Disadvantages (problem areas)
  - Requires specialized hardware (costs)
  - Requires and authentic channel (bootstrap problem)
  - Relatively small distances ( $\approx 100$  km)
  - Must be combined with “conventional” cryptography
  - ...

# 12. Key Establishment

## 12.4 Quantum Cryptography

- Many researchers have contributed to quantum cryptography in many ways
- In 1991, Artur Ekert proposed an alternative QKE protocol that uses entangled photons
- In addition to quantum key distribution protocols (using polarized or entangled photons), many other quantum cryptographic protocols have been developed and proposed
- A few companies sell quantum cryptographic devices and products, such as ID Quantique and MagiQ Technologies



## 12. Key Establishment

### 12.5 Final Remarks

- The Diffie-Hellman key exchange protocol is omnipresent (i.e., it is used in almost all Internet security protocols)
- Whenever two entities want to establish a secret key, it provides an elegant and highly efficient solution
- Using bilinear maps, it can be generalized to three entities
- The generalization to  $> 3$  entities remains an open problem
- People use Diffie-Hellman trees to come up with key exchange protocols that have a complexity that grows “only” logarithmically with the number of entities (e.g., IETF MLS WG)

# Questions and Answers



Thank you for your attention

